Basics	HMDP	Inference	Results	HDPM	Results

## CSci 8980: Advanced Topics in Graphical Models Analysis of Genetic Variation

Instructor: Arindam Banerjee

November 26, 2007



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• Single nucleotide polymorphism (SNP)



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  - Two possible kinds of nucleotides at a single locus

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 Genetic Polymorphism (Contd.)

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• Linkage disequilibrium (LD)



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• Analyze genetic variation to reconstruct evolutionary history

Basics	F	IMDP	Inference	Results	HDPM	Results

## Haplotype Recombination and Inheritance



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• Generative model for choosing recombination sites



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- Generative model for choosing recombination sites
- Hidden Markov process



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  - Hidden states correspond to index over chromosomes

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- Generative model for choosing recombination sites
- Hidden Markov process
  - Hidden states correspond to index over chromosomes
  - Transition probabilities correspond to recombination rates

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- Generative model for choosing recombination sites
- Hidden Markov process
  - Hidden states correspond to index over chromosomes
  - Transition probabilities correspond to recombination rates
  - Emission model corresponds to mutation process that give descendants

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Hidder	n Markov P	rocess			

- Generative model for choosing recombination sites
- Hidden Markov process
  - Hidden states correspond to index over chromosomes
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 Implemented using a Hidden Markov Dirichlet Process (HMDP)

Basics	HMDP	Inference	Results	HDPM	Results
Dirichl	et Process	Mixtures			

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• We know the basics of DPMs

Basics	HMDP	Inference	Results	HDPM	Results
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- We know the basics of DPMs
- Haplotype modeling using an infinite mixture model

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• A pool of ancestor haplotypes or founders

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- A pool of ancestor haplotypes or founders
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- Standard coalescence based models

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  - Hidden variables is prohibitively large

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- Haplotype modeling using an infinite mixture model
  - A pool of ancestor haplotypes or founders
  - The size of the pool is unknown
- Standard coalescence based models
  - Hidden variables is prohibitively large
  - Hard to perform inference of ancestral features

Basics	HMDP	Inference	Results	HDPM	Results
Dirichlet	Process	Mixtures (Co	ontd.)		

•  $H_i = [H_{i,1}, \dots, H_{i,T}]$  haplotype over T SNPs, chromosome i

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- $H_i = [H_{i,1}, \dots, H_{i,T}]$  haplotype over T SNPs, chromosome i
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•  $C_i$ , inheritance variable, latent ancestor of  $H_i$ 

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- Generative Model:

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- Generative Model:
  - Draw a first haplotype

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- $C_i$ , inheritance variable, latent ancestor of  $H_i$
- Generative Model:
  - Draw a first haplotype

• For subsequent haplotypes

$$c_i | DP(\tau, Q_0) \sim \begin{cases} p(c_i = c_j \text{for some } j < i | c_1, \dots, c_{i-1}) = \frac{n_{c_j}}{i-1+\alpha_0} \\ p(c_i \neq c_j \text{for all } j < i | c_1, \dots, c_{i-1}) = \frac{\alpha_0}{i-1+\alpha_0} \end{cases}$$
Basics	HMDP	Inference	Results	HDPM	Results
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• Generative Model (contd)





- Generative Model (contd)
  - Sample the founder of haplotype *i*

$$\phi_{c_i} | DP(\tau, Q_0) \begin{cases} = \{a_{c_i}, \theta_{c_j}\} \text{if } c_i = c_j \text{for some} j < i \\ \sim Q(a, \theta) \text{if } c_i \neq c_j \text{for all} j < i \end{cases}$$



- Generative Model (contd)
  - Sample the founder of haplotype *i*

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• Sample the haplotype according to its founder

$$h_i | c_i \sim P(\cdot | a_{c_i}, \theta_{c_i})$$

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- Generative Model (contd)
  - Sample the founder of haplotype i

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$$h_i | c_i \sim P(\cdot | a_{c_i}, \theta_{c_i})$$

• Assumes each haplotype originates from one ancestor



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  - Sample the founder of haplotype *i*

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• Sample the haplotype according to its founder

$$h_i | c_i \sim P(\cdot | a_{c_i}, \theta_{c_i})$$

- Assumes each haplotype originates from one ancestor
  - Valid only for short regions in chromosome



- Generative Model (contd)
  - Sample the founder of haplotype *i*

$$\phi_{c_i} | DP(\tau, Q_0) \begin{cases} = \{a_{c_i}, \theta_{c_j}\} \text{if } c_i = c_j \text{for some} j < i \\ \sim Q(a, \theta) \text{if } c_i \neq c_j \text{for all} j < i \end{cases}$$

• Sample the haplotype according to its founder

$$h_i | c_i \sim P(\cdot | a_{c_i}, \theta_{c_i})$$

- Assumes each haplotype originates from one ancestor
  - Valid only for short regions in chromosome
  - Long regions will have recombination

Basics	HMDP	Inference	Results	HDPM	Results
Hidden M	larkov Dirio	chlet Process	5		

• Nonparametric Bayesian HMM

Basics	HMDP	Inference	Results	HDPM	Results
Hidden	Markov D	irichlet Proc	ess		

- Nonparametric Bayesian HMM
- Sample a DP to form the support of the infinite state space

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Liddon	Markov	iriahlat Draa			
Fildden	IVIARKOV D	irichiet Proc	ess		

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  - Stock urn  $Q_0$  with balls of K colors,  $n_k$  of color k

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- Hierarchical DPM

 $Q_0|\alpha, F \sim DP(\alpha, F)$  $Q_j|\tau, Q_0 \sim DP(\tau, Q_0)$ 



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• Each color corresponds to ancestor configuration  $\phi_k = \{a_k, \theta_k\}$ 



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$$\phi_n | \phi_{-n} \sim \sum_{k=1}^{K} \frac{n_k}{n-1+\alpha} \delta_{\phi_k}(\phi_n) + \frac{\alpha}{n-1+\alpha} F(\phi_n)$$



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$$\phi_{m_j}|\phi_{-m_j}\sim \sum_k \frac{m_{j,k}+\tau \frac{n_k}{n-1+\alpha}}{m_j-1+tau}+\frac{\tau}{m_j-1+\tau}\frac{\alpha}{n-1+\alpha}F(\phi_{m_j})$$



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Basics HMDP Inference Results H	DPM Results

## HMDP for Recombination and Inheritance

• Priors for the conditional model parameters  $F(A, \theta) = p(A)p(\theta)$ 

Basics	HMDP	Inference	Results	HDPM	Results

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 $P(C_{i,t+1} = C_{i,t} = k) = \exp(-dr) + (1 - \exp(-dr))\pi_{kk}$ 

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- r is the rate of recombination per unit distance
- The transition probability to state k' is

$$P(C_{i,t} = k, C_{i,t+1} = k') = (1 - \exp(dr))\pi_{kk'}$$



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•  $H_i$  is a mosaic of multiple ancestral chromosomes



- *H<sub>i</sub>* is a mosaic of multiple ancestral chromosomes
- Model is a time-inhomogenous infinite HMM



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- Model is a time-inhomogenous infinite HMM
- With  $r \to \infty$ , we get stationary HMM



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- Model is a time-inhomogenous infinite HMM
- With  $r \to \infty$ , we get stationary HMM
- Single locus mutation model for emission

$$p(h_t|a_t, heta) = heta^{\mathbb{I}(h_t=a_t)} \left(rac{1- heta}{|B|-1}
ight)^{\mathbb{I}(h_t
eq a_t)}$$

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Basics		HMDP	Inference	Results	HDPM	Results
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#### Haplotype Recombination and Inheritance



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Conditional probability of haplotype list h

$$p(h|c,a) = \prod_{k} \int_{\theta_{k}} \prod_{i,t|c_{i,t}=k} p(h_{i,t}|a_{k,t},\theta_{k}) Beta(\theta_{k}|\alpha_{h},\beta_{h}) d\theta_{k}$$
$$= \prod_{k} \frac{\Gamma(\alpha_{h}+\beta_{h})}{\Gamma(\alpha_{h})\Gamma(\beta_{h})} \frac{\Gamma(\alpha_{h}+\ell_{k})\Gamma(\beta_{h}+\ell_{k}')}{\Gamma(\alpha_{h}+\beta_{h}+\ell_{k}+\ell_{k}')} \left(\frac{1}{|B|-1}\right)^{\ell_{k}'}$$

where

$$\ell_k = \sum_{i,t} \mathbb{I}(h_{i,t} = a_{k,t}) \mathbb{I}(c_{i,t} = k) \quad \ell'_k = \sum_{i,t} \mathbb{I}(h_{i,t} \neq a_{k,t}) \mathbb{I}(c_{i,t} = k)$$

Basics	HMDP	Inference	Results	HDPM	Results
Inference					

• Gibbs sampler proceeds in two steps



Basics	HMDP	Inference	Results	HDPM	Results
Inference					

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| Basics    | HMDP | Inference | Results | HDPM | Results |
|-----------|------|-----------|---------|------|---------|
| Inference |      |           |         |      |         |

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- Improve mixing for sampling inheritance

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Inference					

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  - By Bayes rule

$$p(c_{t+1}: t+\delta|c_-, h, a) \propto \prod_{j=t}^{t+\delta} p(c_{j+1}|c_j, m, n) \prod_{j=t+1}^{t+\delta} p(h_j|a_{c_j,j}, \ell_{c_j})$$

Basics	HMDP	Inference	Results	HDPM	Results
Inference	ce				

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• Assume probability of having two recombinations is small

$$p(c_{t+1}: t+\delta | c_{-}, h, a) \propto p(c_{t'} | c_{t'-1}, m, n) p(c_{t+\delta+1} | c_{t+\delta} = c_{t'}, m, n)$$

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Basics	HMDP	Inference	Results	HDPM	Results
Inference	(Contd.)				

• Assuming d, r to be small,  $\lambda = 1 - \exp(-dr) pprox dr$ 

$$p(c_{t'} = k | c_{t'-1} = k, m, n, r, d) = \begin{cases} \lambda \pi_{k,k'} + (1-\lambda)\delta(k,k') \text{for} k' \in \{\lambda \pi_{k,K+1} & \text{for} k' = K+1 \end{cases}$$

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Basics	HMDP	Inference	Results	HDPM	Results
Inference	(Contd.)				

• Assuming d,r to be small,  $\lambda = 1 - \exp(-dr) pprox dr$ 

$$p(c_{t'} = k | c_{t'-1} = k, m, n, r, d) = \begin{cases} \lambda \pi_{k,k'} + (1-\lambda)\delta(k,k') \text{for} k' \in \{ \lambda \pi_{k,K+1} & \text{for} k' = K+1 \end{cases}$$

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• Terms can be replaced in original equation to get sampler

Basics	HMDP	Inference	Results	HDPM	Results
Inference	(Contd.)				

• Assuming d,r to be small,  $\lambda = 1 - \exp(-dr) pprox dr$ 

$$p(c_{t'} = k | c_{t'-1} = k, m, n, r, d) = \begin{cases} \lambda \pi_{k,k'} + (1-\lambda)\delta(k,k') \text{for} k' \in \{\lambda \pi_{k,K+1} \mid \text{for} k' = K+1 \end{cases}$$

- Terms can be replaced in original equation to get sampler
- Posterior distribution for ancestors

$$p(a_{k,t}|c,h) \propto rac{\Gamma(lpha_h+eta_h)}{\Gamma(lpha_h)\Gamma(eta_h)} rac{\Gamma(lpha_h+\ell_{k,t})\Gamma(eta_h+\ell_{k,t})}{\Gamma(lpha_h+eta_h+\ell_{k,t}+\ell_{k,t}')} \left(rac{1}{|B|-1}
ight)^{\ell_{k,t}'}$$

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Basics	HMDP	Inference	Results	HDPM	Results
Single F	Dopulation	Data			



Haplotype block boundaries HMDP (black solid), HMM (red dotted), MDL (blue dashed)

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Basics	HMDP	Inference	Results	HDPM	Results
Two Pc	pulation E	Data			



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Basics	HMDP	Inference	Results	HDPM	Results
		c	1.0		

## Hierarchical DPM for Haplotype Inference



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Basics	HMDP	Inference	Results	HDPM	Results
Hierarchio	cal DPM fo	r Haplotype	Inference (	Contd.)	

$$\begin{split} &Q_0(\phi_1,\phi_2,\ldots)|\gamma,F\ \sim\ \mathrm{DP}(\gamma,F),\\ &Q_j(\phi_1^{(j)},\phi_2^{(j)},\ldots)|\tau,Q_0\ \sim\ \mathrm{DP}(\tau,Q_0),\\ &\phi_{i_e}^{(j)}|Q_j\ \sim\ Q_j,\\ &h_{i_e}^{(j)}|\phi_{i_e}^{(j)}\ \sim\ P_h(\cdot|\phi_{i_e}^{(j)}),\\ &g_i^{(j)}|h_{i_0}^{(j)},h_{i_1}^{(j)}\ \sim\ P_g(\cdot|h_{i_0}^{(j)},h_{i_1}^{(j)}), \end{split}$$

sample a DP of founders for all populations;

sample the DP of founders for each population; sample the founder of haplotype  $i_e$  in population j;

sample haplotype  $i_e$  in population j;

sample genotype i in population j,

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Basics	HMDP	Inference	Results	HDPM	Results
Experir	nents <sup>,</sup> Han	man Data			

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• SNP genotypes from four populations

Basics	HMDP	Inference	Results	HDPM	Results
Evneri	ments <sup>,</sup> Han	man Data			

- SNP genotypes from four populations
  - CEPH, Utah residents with northern/weatern European ancestry, 60

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Basics	HMDP	Inference	Results	HDPM	Results
Evneri	ments <sup>.</sup> Han	man Data			

experiments: Hapmap Data

- SNP genotypes from four populations
  - CEPH, Utah residents with northern/weatern European ancestry, 60

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• YRI, Yoruba in Ibadan, Nigeria, 60

Basics	HMDP	Inference	Results	HDPM	Results
Evneri	ments: Han	man Data			

- SNP genotypes from four populations
  - CEPH, Utah residents with northern/weatern European ancestry, 60

- YRI, Yoruba in Ibadan, Nigeria, 60
- CHB, Han Chinese in Beijing, 45

Basics	HMDP	Inference	Results	HDPM	Results
Evneri	ments: Han	man Data			

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- SNP genotypes from four populations
  - CEPH, Utah residents with northern/weatern European ancestry, 60

- YRI, Yoruba in Ibadan, Nigeria, 60
- CHB, Han Chinese in Beijing, 45
- JPT, Japanese in Tokyo, 44

Basics	HMDP	Inference	Results	HDPM	Results
Experime	ents: Hap	map Data			

- SNP genotypes from four populations
  - CEPH, Utah residents with northern/weatern European ancestry, 60
  - YRI, Yoruba in Ibadan, Nigeria, 60
  - CHB, Han Chinese in Beijing, 45
  - JPT, Japanese in Tokyo, 44
- $\bullet$  Experiments on short  $(\sim 10)$  and long  $(\sim 10^2-10^3)~\text{SNPs}$

Basics	HMDP	Inference	Results	HDPM	Results
Short S	VP Seaue	nces			



Haplotype error (err<sub>s</sub>) of short SNPs in four populations



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Basics	HMDP	Inference	Results	HDPM	Results
Long S	ND Sequer				





Haplotype error (d<sub>w</sub>) of long SNPs in four populations 0.18 HDP Phase fastPhase NA 0.16 0.14 0.12 0.1 ⊳≯ 0.08 0.06 0.04 0.02 0 5 6 Region ID 3 Δ 10

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Basics	HMDP	Inference	Results	HDPM	Results
Mutati	on Rates a	nd Diversity			



(a) CEPH







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Basics HMDP Inference Results HDPM Results

## Mutation Rates and Diversity (Contd.)



(c) Han Chinese

(d) Japanese





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